



PATENT
Docket No. 249212022700

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Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on August 12, 2003.


Mei Leung

UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Daniel B. SACHUK

Serial No.: 10/627,371

Filing Date: July 24, 2003

For: SINGLE REEL TAPE CARTRIDGE
HAVING GUIDE SURFACE

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references numbered 1 through 11 are not submitted. Copies of foreign patent documents are submitted herewith in accordance with 37 C.F.R. § 1.98 (a)(2). The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of ___ is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of ___ is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)


Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 249212022700. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 12, 2003

Respectfully submitted,

By: 
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| Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i> | Docket Number 249212022700 | Application Number 10/627,371 |
| | Applicant Daniel B. SACHUK | |
| | Filing Date July 24, 2003 | Group Art Unit Not Yet Assigned |
| | Mailing Date August 12, 2003 | |

U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|----------|------------|--------------|------------------|-------|----------|----------------------------|
| | 1. | 12/22/1992 | 5,173,828 | Tanzer et al. | | | |
| | 2. | 05/09/1995 | 5,414,585 | Saliba | | | |
| | 3. | 06/02/1998 | 5,760,995 | Heller et al. | | | |
| | 4. | 05/25/1999 | 5,906,324 | Adams et al. | | | |
| | 5. | 08/01/2000 | 6,095,445 | Hentrich | | | |
| | 6. | 10/31/2000 | 6,141,184 | Daly | | | |
| | 7. | 06/12/2001 | 6,246,542 | Hu | | | |
| | 8. | 07/31/2001 | 6,267,325 | Rathweg | | | |
| | 9. | 08/07/2001 | 6,271,991 | Saliba et al. | | | |
| | 10. | 11/20/2001 | 6,320,727 | Cope et al. | | | |
| | 11. | 06/18/2002 | 6,405,957 | Alexander et al. | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Country | Class | Subclass | Translation YES NO |
|-------------------|----------|------------|--------------|---------|-------|----------|--------------------|
| | 12. | 09/30/1987 | EP 0 239 291 | Europe | | | |
| | 13. | 03/05/2003 | EP 1 288 935 | Europe | | | |

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

| Examiner Initials | Ref. No. | Title |
|-------------------|----------|-------|
| | | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.